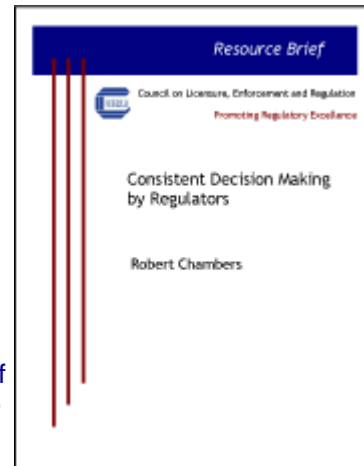




Consistent Decision Making by Regulators

CLEAR is pleased to announce the publication of a new Resource Brief, "Consistent Decision Making by Regulators" by Robert Chambers, President, AssetRisk Advisory Inc. [Members can download the article](#); the article is also available [for sale to non-members](#).



Introduction:

"Regulatory organizations are tasked with the responsibility of making decisions that impact not only the profession or industry they regulate but also the welfare of the public. As such, it is essential for a regulatory body to make consistent decisions based on a clear strategy and well-defined policy if it is to fully achieve its statutory objectives. Consistent decision making also has the advantages of greater effectiveness, improved efficiency and enhanced fairness to applicants, licensees and complainants.

The goal of decision making processes is to guide and limit administrative discretion exercised by regulatory staff and committees and thereby focus the efforts of the regulator on preferred outcomes. This article is concerned with decisions where the use of judgment or discretion by the regulator is required. Not all decisions made by a regulatory body require judgment; many everyday decisions are routine such as automatically suspending a registration for failure to remit annual license fees. The purpose of this article is to inform management of regulatory bodies of the importance of consistent decision making and describe the techniques and tools available to achieve it."

About the Author:

Robert Chambers holds designations in law (LL.B.), accounting (FCA, CPA), investigation (CA-IFA, CFE), valuation (CBV, CA-CBV), and corporate recovery (trustee in bankruptcy). He provides insight gained from conducting complex professional assignments for corporations, regulators and government. Prior to forming AssetRisk in 1999, Robert Chambers led the financial institutions practice of an international accounting firm where he was also responsible for forensic accounting and risk advisory services.

Invitation to submit articles for consideration

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